

GRANT ARRANGEMENT

BETWEEN

**THE DEPARTMENT OF FOREIGN AFFAIRS TRADE AND
DEVELOPMENT**

AND

THE UNITED NATIONS DEVELOPMENT PROGRAMME (UNDP)

Support the N-Peace Small Grants Initiative

GRANT ARRANGEMENT

BETWEEN:

The Department of Foreign Affairs, Trade and Development (hereinafter referred to as "DFATD");

AND:

The United Nations Development Programme/UNDP (hereinafter referred to as "the Organization");

Individually or together hereinafter referred to as the "Participant(s)".

DFATD wishes to provide a grant (hereinafter referred to as the "Grant") to support the Project entitled "Support the N-Peace Small Grants Initiative" in Afghanistan, Pakistan, Indonesia, Nepal, Philippines, Myanmar, and Sri Lanka, (hereinafter referred to as the "Project");

The Organization will implement the Project directly or enter into agreement(s) with implementing partner(s)/third parties as necessary to ensure the successful implementation of the Project;

The purpose of this Grant Arrangement (hereinafter referred to as the "Arrangement") is to set out the terms concerning the transfer and administration of the Grant;

The respect for human rights, democratic principles, the rule of law, gender equality and good governance, including the fight against corruption, are fundamental principles on which the cooperation between the Participants rests and which constitute essential elements of this Arrangement;

NOW THEREFORE, under this Arrangement, the Participants have reached the following understanding:

Paragraph I. The Grant

1.1 Upon signature of this Arrangement by both Participants, DFATD will make one single payment in an amount of four hundred thousand Canadian dollars (CDN \$ 400,000) under DFATD's fiscal year 2017/18 for the purpose of the Project described in Annex A.

2. DFATD will make the payment(s) to the Organization through bank transfer(s), in the Organization's bank account as follows:

Account Number: 711442252220

Bank Name: BANK OF AMERICA CANADA

Account Name: United Nations Development Programme (CAD) Account

SWIFT Address: BOFACATT

Address: 200 Front St. West, 26th Floor, Toronto, Ontario M5V 3L2, CANADA

3. DFATD will inform the Organization when the Grant or any installment of the Grant is paid via an e-mail message with remittance information to nashida.sattar@undp.org. The Organization will confirm receipt of payment(s) and the value of the payment(s) to DFATD by email at Hannah.Skeoch@international.gc.ca.

4. The value of the Grant, made pursuant to this Arrangement, will be determined by applying the United Nations operational rate of exchange for US Dollars in effect on the date of payment(s). The Organization's commitments for implementation of the Project under this Arrangement are contingent upon receipt of the Grant and are limited by the value of the Grant.

Paragraph II. Utilization of the Grant

1. The Grant will be received, administered, used and expended by the Organization in accordance with the Organization's regulations, rules, policies and procedures, as well as the terms of this Arrangement.

2. The Organization will ensure that the Grant is utilized exclusively for the purpose of the Project/Programme.

3. DFATD may withhold payment or request reimbursement of the Grant, should DFATD reasonably determine that the Organization:

(i) used the Grant for purpose other than the Project described in Annex A. The Participants acknowledge that "used the Grant for a purpose other than the Project described in Annex A" means when the Organization applies the Grant for a different project than the one described in Annex "A";

or,

(ii) receives a payment made in error. The Participants acknowledge that "error" will mean (a) when the payment is sent to the Organization by mistake as it should have been sent to a different organization and/or (b) when the Organization applies the payment to a different country programme and/or a different project than the one agreed by the Participants.

4. Sub-paragraph 3(i) will not apply to the misuse of funds by implementing partner(s)/third parties, which will be governed by paragraph XIX. The Participants understand that DFATD will, before withholding any payment, consult with the Organization as per paragraph XII of this Arrangement.

Paragraph III. Liability

1. DFATD will not be held liable for any contractual commitments entered into by the Organization with any third party for the implementation of the Project/Programme.

2. DFATD will have no liability for any claims arising from the implementation of the Project/Programme or any infringements of intellectual property of others.

Paragraph IV. Access to Information, Confidential Information and Intellectual Property

1. The Participants acknowledge and agree that this Arrangement and information with respect to the Project described in Annex A and arising from the implementation of the Project will be

disclosed in accordance with the Participants' respective access to information and privacy disclosure regulations, rules, policies, procedures and laws.

2. The Participants will mutually ensure that any information of a confidential nature will be treated as confidential. Any documents that one Participant provides to the other and which it considers to be confidential in nature will be clearly marked as such. The Participants will use all reasonable efforts to protect the confidential information from disclosure to any third party. Such efforts will be governed by the Participants' respective regulations, rules, policies, procedures and laws.

3. The Participants understand that any intellectual property resulting from the Project of the Organization undertaken under the Project set out herein will be vested in the Organization. The Organization agrees to allow DFATD use of such Intellectual Property, free of charge, for non-commercial Purposes. The Organization also acknowledges that the Project is part of an international assistance Program and that any Intellectual Property rights created by virtue of the Grant will only be asserted in a manner that promotes the interests and objectives of the Project of international assistance and where possible, maximizes the use of the products created by virtue of the Grant.

4. The Organization make reasonable efforts to ensure that any intellectual property rights provided under this Arrangement will not infringe on the intellectual property rights of others.

Paragraph V. No employee or agency relationship.

1. This Arrangement is neither a contract for services nor a contract of service or employment. No provision in this Arrangement creates or may create a joint venture, an association, or a partnership, employment or agency relationship, mandate, representation or delegation between DFATD and the Organization.

2. The Organization is responsible to ensure its own security and the security of its personnel, in accordance with the Organization's regulations, rules, policies and procedures. DFATD assumes no responsibility for the security of the Organization or its personnel.

Paragraph VI. Equipment and Material Purchases.

1. Ownership of equipment, supplies and other properties financed from the Grant will vest in the Organization. Matters relating to the transfer of ownership by the Organization of such equipment, supplies and other properties will be determined in accordance with the relevant regulations, rules, policies and procedures of the Organization, unless otherwise agreed to and specified in Annex A. Procurement to be implemented by the Organization will be in accordance with the Organization's procurement regulations, rules, policies and procedures exclusively.

Paragraph VII. Administration and Reporting

1. All financial accounts and statements will be expressed in United States dollars.

2. The Organization will provide DFATD with the following reports:

a) an annual results-based narrative report, within three (3) months of the end of each calendar year including an interim financial report, which provides an evidence-based assessment of progress on or toward the achievement of expected outputs, outcomes and impact. This annual results-based

narrative report should provide evidence of performance using actual data on output, outcome and impact level performance indicators, identified in the relevant results framework for this Project, in comparison to baseline and targets.

The annual results-based narrative report should be accompanied by an annex providing actual data on each performance indicator as per its collection frequency.

The annual-results based narrative report will outline the following:

i) an analysis of the key challenges and constraints internal and external to the Organization and which may influence the success of the Project as a whole, as well as explanations of variance between expected and actual results, lessons learned and mitigation measures. The organization will also describe the organization's efforts to ensure sustainability of results achieved and specify whether the Project is on track to achieve the Project's expected outputs, outcomes and impact;

ii) if relevant, an assessment of how the Organization's gender equality strategy, if any, is being implemented by the Project and how it is contributing to the achievement of expected Project results;

iii) if relevant, a summary of environmental issues that affect and or arise from the Project and how they are being addressed to ensure expected results are achieved;

iv) if relevant, a summary of what governance and human rights issues affect the Project and description of how governance considerations (i.e. capacity and responsiveness; efficiency and effectiveness; transparency and accountability; equity, equality and non-discrimination; participation and inclusion) have been taken into account to address the issues in the design, implementation, results and monitoring of the Project.

b) an annual certified financial report as of December 31st of every year to be submitted within six (6) months of the end of each calendar year.

c) if relevant a final narrative report within three (3) months of the completion of the Project, the expiry or termination of this Arrangement including an interim financial report. The report will include an evidence-based analysis of cumulative outputs, outcomes, and impact achieved over the course of the Project. This final narrative report should provide evidence of performance using actual data on output, outcome and impact level performance indicators, identified in the relevant results framework for this Project, in comparison to baseline and targets.

The final narrative report should also be accompanied by an annex providing actual data on each performance indicator as per its collection frequency. The report should also outline challenges and lessons learned, and include a summary of considerations identified in above paragraph 2 a) ii, iii and iv, and how the Project is ensuring the sustainability of results achieved.

d) a final certified financial report to be submitted by 30 June of the following year of the completion of the Project, the expiry or termination of this Arrangement

e) at DFATD's request and expense in consultation with the Organization, more frequent reports

Paragraph VIII. Administrative and Support Services

1. The Grant will be subject to cost recovery for indirect costs (General Management Support (GMS)) services equal to (8%) of the total value of the Grant in accordance with the Organization cost recovery policy. Furthermore, as long as they are unequivocally linked to the Project, all direct costs of implementation of the Project, including the costs of the third parties, will be borne by the Grant and reflected in the Project's budget.

Paragraph IX. Audit

1. The Grant will be subject exclusively to the provisions on external and internal audit provided for in the Organization's financial regulations, rules, policies and procedures. The external audit report will be disclosed by the United Nations Board of Auditors at <http://www.un.org/en/auditors/board/reports.shtml>, or at such other URL as the Board of Auditors may from time to time decide. The internal audit report will be publicly disclosed in accordance with the protocols established by the Organization's Executive Board, subject to limitations contained in the relevant decisions of the Organization's Executive Board. The Organization will inform DFATD when the external audit reports are disclosed and if there is a change in URL.
2. Any part of the Grant transferred to implementing partner(s)/third parties will be audited and the corresponding report disclosed in accordance with the Organization's financial regulations, rules, policies and procedures.

Paragraph X. Evaluation

1. The Project will be evaluated in accordance with Organization's regulations, rules, policies and procedures.
2. The evaluation reports of the Organization which are publicly disclosed can be found at: (<https://erc.undp.org>), or at such other URL as the Organisation may from time to time decide. The Organization will inform DFATD when the evaluation report is disclosed and if there is a change in URL <https://erc.undp.org>.

Paragraph XI. Visibility

1. Where appropriate, the Organization will ensure visibility and provide public recognition of DFATD's support in publications, speeches, press releases, websites, social media or other communication material in accordance with the Organization's policies and procedures. The Organization will supply DFATD with a copy of any written or electronic material acknowledging DFATD's support. DFATD may provide content and input acceptable to the Organization into any supporting communication material.
2. The Organization will provide at least fifteen (15) days advance notice to DFATD (unless otherwise agreed upon) of any planned initial public announcement of Canada's support. DFATD reserves the right to make the initial public announcement or participate in any official ceremony, public event or announcement made by the Organization.
3. All public materials issued jointly by DFATD and the Organization must be judged acceptable by both Participants and will be made available in both English and French.

Paragraph XII. Consultation

1. DFATD and the Organization will consult each other in respect of any matter that may arise in relation to this Arrangement.

Paragraph XIII. Suspension

1. Following consultations as provided for in paragraph XII, either Participant may at any time suspend this Arrangement in whole or in part by a written notice of its intent to do so to the other Participant. Both Participants will endeavour to identify ways and means to manage the suspension, thereby mitigating the impact on the Project/Programme until such time that the suspension is lifted.
2. DFATD will nevertheless cover any commitments entered by the Organization up to the date on which the notice of suspension takes effect.

Paragraph XIV. Notification of Completion of activities and Termination;

1. The Organization will notify DFATD when all activities relating to the Project have been completed.
2. Following consultations as provided for in paragraph XII, either Participant may at any time terminate this Arrangement in whole or in part by giving ninety (90) days' written notice of its intent to do so to the other Participant.
3. DFATD will nevertheless cover any commitments entered by the Organization up to the date on which the notice of termination takes effect. The Organization will continue to hold unutilized portion of the Grant in order for the Organization to bring the Project activities to an orderly conclusion
4. Any portion of the Grant that remains unexpended after such commitments have been satisfied will be reallocated by the Organization, in consultation and agreement with DFATD.

Paragraph XV. Environmental Assessment

Intentionally left void

Paragraph XVI. Amendment of the Arrangement

1. This Arrangement may only be amended prior to the expiration or earlier termination of this Arrangement in writing and must be signed and dated by both DFATD and the Organization.

Paragraph XVII. Notice

1. Any notice to be given to either Participant with respect to this Arrangement will be effectively given if delivered or sent by registered letter or facsimile addressed to the other Participant at the address given in this Paragraph. The address of either Participant may be changed by notice in the manner set out in this Paragraph.
2. Any notice to DFATD will be addressed to:

Hannah Skeoch
Program Analyst
PSOPs Programs Division
IRG

125 Sussex Drive
343-203-2895
Hannah.Skeoch@international.gc.ca

3. Any notice to the Organization will be addressed to:

Nashida Sattar
Programme Specialist – Gender and Inclusion in Peacebuilding
3rd Floor United Nations Services Building, 10200, Thailand
nashida.sattar@undp.org

Paragraph XVIII. Entire Understanding

1. This Arrangement together with Annex A, which forms an integral part hereof, constitutes the entire understanding between DFATD and the Organization with respect to the Project.

Paragraph XIX. Proscribed Practices (Anti-Corruption)

1. The Organization and DFATD understand that it is important to take all necessary precautions to avoid any proscribed practice as this term is defined in the Organization's Oversight Policy. To this end, the Organization will, *inter alia*, maintain standards of conduct that govern the conduct of its staff, including the prohibition of proscribed practices in connection with the award and administration of contracts, agreements, grants, or other benefits, as set forth in the *Staff Regulations and Rules of the United Nations*, the Organization's *Financial Regulations and Rules*, and the Organization's *Procurement Policies and Procedures*.
2. Any investigation into allegations of proscribed practices under sub-paragraph 1 or attempts to recover any misused Grant funds will be subject exclusively to the terms of the Organization's regulations, rules, policies and procedures, the terms of the Organization's Oversight Policy, and the relevant resolutions and decisions of the General Assembly.
3. In respect of such amount(s) of the Grant that the Organization has been able to recover as provided for in Paragraph XIX, sub-paragraph 2 above, the Participants will consult to decide whether the amount be returned to DFATD or be re-programmed at DFATD's request.

Paragraph XX. Anti-Terrorism

1. Consistent with numerous United Nations Security Council resolutions, including, but not limited to S/RES/1269 (1999), S/RES/1368 (2001), and S/RES/1373 (2001), both DFATD and the Organization are firmly committed to the international fight against terrorism, and in particular, against the financing of terrorism. It is the laws of Canada that none of its funds are used, directly or indirectly, to support individuals or entities associated with terrorism.
2. As required by the Organization's regulations, rules, policies and procedures, the Organization will screen potential third parties to ensure the Organization does not knowingly work with any implementing partner(s)/third parties appearing on the Consolidated United Nations Security Council Sanctions List as modified during this Arrangement.
3. The Organization will make its best efforts to provide to DFATD a list of implementing partner(s)/third parties before the signature of this Arrangement. If not received before signature, the Organization will provide to DFATD the list of third parties as soon as it is available.

4. Any payments to implementing partner(s)/third parties that the Organization will make from the Grant will be made only to third parties listed in Annex A. Any change to the list of third parties included in Annex A will be submitted to DFATD in writing, no later than thirty (30) days before signing any agreement with the proposed new third party. Annex A will be updated as necessary without a formal amendment as stated in Paragraph XVI.

5. DFATD may, from time to time, inform the Organization in writing if it has identified implementing partner(s)/third parties included in Annex A that are associated directly or indirectly with terrorism. In such instance, DFATD and the Organization will discuss and determine in good faith an appropriate course of action, including reallocation of remaining funds, suspension or termination of this Arrangement.

6. The Organization will include an appropriate clause in its agreements with implementing partner(s)/third parties requiring that the third parties use all reasonable efforts to ensure that no funds transferred are used to benefit individuals or entities appearing on the *Consolidated United Nations Security Council Sanctions List*.

Paragraph XXI. Economic Sanctions

1. It is a term of this Arrangement that the Organization will respect the international economic sanctions imposed by the United Nations.

2. DFATD may, from time to time, inform the Organization in writing if it has identified a third party acting in violation of international economic sanctions imposed by the United Nations. In such instances, DFATD and the Organization will discuss and determine in good faith an appropriate course of action, including reallocation of remaining funds, suspension or termination of this Arrangement.

Paragraph XXII. General Provisions

1. DFATD seeks to ensure that no current or former public office holder, member of the Canadian House of Commons, member of the Senate or public servant of the Government of Canada who is not in compliance with the *Canadian Conflict of Interest Act, 2006, c. 9, s. 2*, the *Conflict of Interest Code for Members of the House of Commons*, the *Conflict of interest code for Senators*, the *Values and Ethics Code for the Public Service* and the *Values and Ethics Code for the Public Sector* will derive a direct benefit from this Arrangement. It is the obligation of such persons to ensure compliance with their obligations under the above Canadian laws and codes.

2. This Arrangement will not be assigned by the Organization without the prior written consent of DFATD.

3. Any payment to be made under this Arrangement is subject to there being an appropriation by the Parliament of Canada for the fiscal year in which the payment is to be made. If DFATD's appropriation is changed by the Parliament of Canada, DFATD may reduce the Grant or terminate this Arrangement with a written notice to the Organization.

4. This Arrangement is not an international treaty and does not create any obligation under public or private international law. It is an administrative arrangement between DFATD and the Organization.

5. Nothing in or relating to any provision in this Arrangement will be construed as constituting a waiver, express or implied, of any of the privileges and immunities of the Participants.
6. Any difference arising out of or relating to this Arrangement will be settled amicably by the Participants.

Paragraph XXIII. Coming into Effect; Term; Expiration

1. The Arrangement will come into effect on the date of the last signature and will remain in effect until the completion of the Project activities.

Done in the English language in two (2) copies,


For the Department of Foreign Affairs,
Trade and Development

For the United Nations Development
Programme (UNDP)

Signature 

Signature _____

Larisa Galadza

Ms. Valerie Cliff 

Director General for the Peace and
Stabilization Operations Program (PSOPs)

Deputy Regional Director for Asia & the
Pacific, Director, Bangkok Regional Hub

Date 8 March 2018

Date 7 March 2018

ANNEX A

Integrated Results and Resources Framework for: *Galvanizing Civil Society Action to Address Gendered Dimensions of Conflict in Asia - N-Peace Small Grants Initiative*

Start Date: 1 Feb 2018

End Date: 31 March 2019

Brief Description

N-Peace is a multi-country network of peace advocates in Asia seeking to advance Women, Peace & Security (WPS). It supports women's leadership for conflict prevention, resolution, and peace-building, and promotes the implementation of United Nations Security Council Resolution (UNSCR) 1325 as well as the broader WPS agenda. N-Peace rests on the hypothesis that if targeted women and men are supported with increased investments in capacity and skills, they will be able to create institutional and social shifts to prioritize the inclusion and empowerment of women and girls, and change the current discourse on the roles of women in peacebuilding.

N-Peace is implemented in 7 countries in the Asia-Pacific region: Afghanistan, Pakistan, Indonesia, Nepal, the Philippines, Myanmar, and Sri Lanka. N-Peace focuses on four components (including the N-Peace Awards and advocacy through the N-Peace Awards campaign and knowledge sharing): Sustained engagement through national dialogues; Trainings and capacity building; and N-Peace Small Grants. Together, these components contribute to the achievement of the overall objectives of N-Peace: (i) to support leadership of women in building peace and preventing conflicts; and (ii) to provide a platform for engagement and increased dialogue between key actors furthering WPS and conflict prevention; (iii) to promote peace and shift the narrative of women in these contexts from victims to agents of change.

This proposal is limited to implementing the small grant component for civil society organizations (CSOs) from the 7 participating N-Peace countries in order to support the WPS agenda across three of the agenda's key pillars: (i) participation - ensuring women's equal participation in the decision making process, (ii) protection - protecting and promoting the rights of women in conflict affected situations, and, (iii) prevention - preventing conflict and all forms of violence against women in conflict and post conflict situations. CSOs will be selected through a similar process by which the N-Peace Awards for individual peace-building advocates are selected. The N-Peace Awards for 2018 will be expanded to cover CSO winners (using funds under this initiative who will receive the grants), in addition to the ongoing awards for individuals. Full details of the proposal are Annexed to the document (see Annex 1)

*The initiative will contribute to the UNDP Strategic Plan **OUTCOME 3: Strengthen resilience to shocks and crises**; and provides inputs to the Regional Programme for Asia and the Pacific (2018-2021), see Annex 2 in particular to **Output 3.1 Policies and programmes at all levels of society to sustain peace, including PVE, adopted/developed**; **Outcome indicator 3.3 Number of countries that made explicit interventions to place women's leadership and equal engagement at the core of crisis prevention, recovery planning and action, and resilience building**. It will therefore adhere to the Monitoring and Evaluation framework of the Regional Programme, see pages 10-11.*

The proposal will also contribute to the Country Programme Document of the 7, and will be implemented by UNDP Bangkok Regional Hub in close collaboration with the country offices.

Related SDGs: SDG 1, SDG 5, SDG 11, SDG 13, SDG 16, SDG 17			
REGIONAL/GLOBAL PROGRAMME OUTCOME 3: Strengthen resilience to shocks and crises			
RELATED STRATEGIC PLAN OUTCOME: (from strategic plan 2018-2021, if known) OUTCOME 3: Strengthen resilience to shocks and crises			
Outcome indicator 3.3 Number of countries that made explicit interventions to place women's leadership and equal engagement at the core of crisis prevention, recovery planning and action, and resilience building Baseline: 2 Targets: 8	Bangkok Regional Hub to collect annually	Output 3.1 Policies and programmes at all levels of society to sustain peace, including PVE, adopted/developed. (Strategic Plan 3.2) Indicators 3.1.3: Number of women included in formal and informal peace processes/ leadership positions related to crisis prevention and recovery as a results of support through N-Peace initiative. Baseline: 130 women (2017) Target: 160 women	Canada, N-Peace CSO networks Regular: \$200,000 Other: Canada USD \$ 324,000

ANNEX 1:

Overview

The adoption of UN Security Council Resolution 1325 (UNSCR 1325) not only provided insights into the divergent impact of war on women, but provided a framework to understand women's role in conflict management, conflict resolution, and sustaining peace. Nearly two decades after the adoption of the UNSCR 1325, the role women play to end hostilities and mobilise communities for reconciliation is increasingly recognised, but the actual participation of women in peace and security issues continues to be limited.¹ Globally, only 4 per cent of women are signatories of formal peace treaties.

In the Asia-Pacific region, intra-state conflicts exist in most countries in the region to varying degrees. Over 130 million of Asia's citizens live in conflict-impacted areas². Some of these conflicts have spilled over to neighbouring countries resulting in sub-regional instability. Marginalisation, exclusion (specifically from political processes), land rights, and unfettered economic development with no regard for local and social priorities continue to exacerbate conflict in the region. This includes countries affected by geopolitical conflicts such as Afghanistan and Pakistan. Addressing complex and interlinked factors affecting conflicts in the Asia-Pacific region requires the participation of all sections of the population, especially women, who continue to be disproportionately affected by conflicts. Women comprehensively understand and have the capacity to address the root causes of conflict, and become key drivers of sustainable peace. Thus, limiting the roles of women, or indeed excluding them from peacebuilding efforts, increases the chances of communities sliding back into conflict. Despite this, since 1992, only 1 in 13 participants in peace negotiations have been women³.

Shyam Kumari Sah and Sunaina Thakur reached out to more than 18,000 people in their community through Mahottari and Siraha local radio. Their messages inspired women to voice their rights, route for equality, and increased their participation in decision making. This contribute to increase the participation of women in local decision-making and community peace building, and has increased the receptiveness of local government institutions, particularly Village Development Committees (VDCs), to include women in decision making process; and has developed the capacity of women in VDCs in terms of collaborative leadership and creating a dialogue for peace.

The overall low levels of political and economic participation of women, coupled with high rates of gender-based violence and discrimination against women accessing services could be some of the factors resulting in the low participation of women in peace processes, thereby contributing to the vicious cycle of low participation and violence.

In the Asia Pacific region, for example, women's political participation is less than the global average, and ranges from a low of 3.6 per cent in the Pacific region to a high of 19.7 per cent in South Asia.⁴ Labour force participation rates in East Asia & the Pacific in 2014 is 80 per cent for men and 61 per cent for women while in South Asia, they are 84 per cent for men and 30 per cent for women.⁵ Women are often excluded from ownership of land and property⁶ as inheritance laws overwhelmingly favour men. Despite women's participation in agricultural sector, only 10.7 per cent of farms in Asia and the Pacific are headed by them⁷. Women are more vulnerable to sexual and physical violence, with approximately 35 per cent of all women in the region experiencing

intimate partner violence or non-partner sexual violence in their lifetime. In some countries, prevalence rates for sexual violence are as high as 70 per cent.⁸ Women often do not receive justice for many of the human rights

¹ See Report of the Secretary-General on Women and Peace and Security S/2017/861*

² Asia Foundation, 2013, *Contested Corners of Asia*.

³ UN Women, 2012, *Women's Participation in Peace Negotiations: Connections between Presence and Influence*

⁴ Inter-Parliamentary Union, Women in National Parliaments, as at 1 November 2015, <http://www.ipu.org/wmn-e/world.htm>

⁵ UNDP (2016), "[Shaping the Future: How Changing Demographics Can Power Human Development](#)". Asia Pacific Human Development Report

⁶ For further information please refer to *Development Issues in Asia Pacific: Initial Assessment for the Asia-Pacific Regional Programme 2014-2017*

⁷ UNDP, 2010, *Power, Voices and Rights: A Turning Point for Gender Equality in Asia and the Pacific*.

⁸ P4P (2013), '[Why do some men use violence against women and how can we prevent it](#)'

violations and violence they face. Few organizations exist at the grassroots level that represent the interests of women. Those who do often lack the capacity and resources to kick-start or scale up their initiatives, further impeding women's involvement in decision-making processes.

For women to overcome the above indicated socio-economic and political barriers, and participate in peace-building, they not only require an overall enabling environment but also sustained support to strengthen their leadership skills in conflict prevention and resolution, and peace-building, and participate in decision-making processes. Civil society organisations, specifically at the grassroots level, also have an important role to play in providing capacity development support, and in acting as intermediaries in raising women's voices, and connecting them with other actors (including justice and security sector actors) to promote women's rights. N-Peace is one such initiative designed to further the Women, Peace & Security (WPS) agenda by raising awareness of local peace initiatives, providing a platform for developing capacity of grass-root leaders and organizations, and creating the enabling environment for participation of women in local peace and decision making processes.

About N-Peace

[N-Peace](#), established in 2010, is a multi-country network of peace advocates in Asia seeking to advance WPS agenda. It supports women's leadership for conflict prevention and resolution, and peace building, and promotes the implementation of UNSCR 1325, and the broader women peace and security agenda. N-Peace is implemented in 7 countries in the region: Afghanistan, Pakistan, Indonesia, Nepal, the Philippines, Myanmar, and Sri Lanka, and focuses on four areas of support. These are:

- I. **N-Peace Awards and advocacy through the N-Peace Awards campaign and knowledge sharing:** Over the past nine years, it has awarded 50 exceptional men and women who work on implementing the WPS agenda on the ground. Over 75 - 80 per cent of previous awardees report greater recognition for their work since winning the N-Peace Awards, and being invited to formal or informal peace processes at the national or subnational levels. This is a significant achievement, given the negligible numbers of women who are invited to peace processes in the region. Similarly, our campaign work on social media equates well over 10 million impressions.
- II. **Sustained engagement through national dialogues:** The national dialogues have had varying levels of success in terms of how regularly the dialogue meetings were held and whether the meetings provided opportunity for network members to connect more systematically around key WPS policy issues at the national level. In countries such as Indonesia where there was a specific national policy agenda (shaping of the new National Action Plan on WPS) to anchor the dialogues around, more tangible results were achieved.
- III. **Trainings and capacity building:** capacity building workshops are conducted in partnership with Inclusive Security for the winners for awardees each year (alongside the N-Peace Gala), and separately for CSOs - including those engaged with N-Peace under the small grants initiative (see below for details). This is an important component that builds the confidence of the Awardees, and ensures scalability and sustainability of the initiatives implemented under the small grants component. According to online surveys conducted with previous winners, over 85 per cent of them report being more confident in their work due to the capacity building trainings.
- IV. **N-Peace Small Grants:** Small grants components were implemented in Indonesia and Nepal to support operationalisation of National Action Plans on WPS. The grants helped to leverage the strengths of CSOs working on WPS with immediate results achieved on capacity building, access to justice for women, and creating dialogue and platforms of peace. In Nepal, the initiative helped to set a precedence in over 15 districts on allocating a separate budget by the Village Development Council for WPS. Despite these impressive results, the N-Peace small grants component had to be halted at the end of 2016 due to funding constraints.

Initiative implemented in Ambon, Indonesia assisted to build capacity of women on gender-responsive gender responsive planning for peace building. Under the initiative based on discussion it was agreed that local committees would allow equal representation of women setting a precedence

Building on the success of the N-Peace Small Grants, this proposal seeks to expand the small grants to all seven N-Peace countries. This proposal is limited to the small grant component which will run alongside and compliment the other components and will be linked to the N-Peace Awards. The 2018 N-Peace awards will be open to applicants from CSOs from the 7 participating N-Peace countries towards implementing the WPS agenda, across three of its pillars: (i) participation - ensuring women's equal participation in the decision making process, ii) protection - protecting and promoting the rights of women in conflict affected situations, and, (iii) prevention - preventing conflict and all forms of violence against women in conflict and post conflict situations.

I. Strategy (1/2 page - 3 pages recommended)

Theory of Change

The N-Peace Phase theory of change is premised on the following:

“If targeted women and men are supported with increased investments in capacity and skills, then they will be able to create institutional and social shifts to prioritise the inclusion and empowerment of women and girls and change discourse on the roles of women in peacebuilding.”

The small grants component will contribute to realising this change. Small grants will be given to CSOs to be invested in capacity and skills development of communities to:

- ensure women's equal participation in the decision-making process;
- protect and promote the rights of women in conflict affected situations; and
- prevent conflict and all forms of violence against women in conflict and post conflict situations.

This focus allows for institutional and social shifts to prioritize the inclusion and empowerment of women and girls, and change the discourse on the roles in peacebuilding towards implementing the WPS agenda.

Inclusive Security: A Curriculum for Women Waging Peace

Published in 2009, *Inclusive Security: A Curriculum for Women Waging Peace* is a resource for policymakers and practitioners who want to better include women in peace processes.

This unique tool draws on more than a decade of original research and training by The Institute for Inclusive Security and accomplished women peace builders from areas including Afghanistan, Bosnia, Colombia, Haiti, Iraq, Israel & Palestine, Northern Ireland, Rwanda, and Sudan.

Building on the training resources, '[A Curriculum for Women Waging Peace](#)' developed by Inclusive Security, a key partner of N-Peace, CSOs will be supported to provide women and men at the grassroots levels with skills to analyse problems, collect data, mobilise allies and partners, develop action plans, mobilise resources to implement the plans, and effectively advocate WPS issues.

Lessons from implementation of CSO capacity development trainings, and small grants programme in Indonesia and Nepal has shown that focuses on the above aspects have helped women and men to develop their confidence, manage differences around WPS issues, network with other actors, and be more effective in raising awareness of WPS and including WPS issues (access to justice, protection of rights etc.,) in local development plans. Furthermore, lessons from Indonesia and Nepal have also show the importance of integrating more structured livelihood initiatives for strengthening peace-building efforts.

Where feasible, the small grants component will also integrate economic empowerment related activities in the overall capacity development training on advocacy, conflict management, resolution and peace-building. In addition, to ensure sustainability of the small grants component, N-Peace/UNDP will also conduct a mapping exercise to identify key national and regional institutions. The N-Peace initiative can work with these institutions over the course of the few years to build up their capacity to take on a more institutional role in maintaining and expanding the country-level networks and sustain the grassroots level work. At present, many of the CSOs working on WPS issues in the Asia-Pacific region operate at national level with limited outreach to grassroots level, and limited impact on overall policy setting on WPS.

It will be rolled out in all 7 countries and will be opened to applicants from CSOs from the 7 N-Peace countries: Afghanistan, Pakistan, Nepal, Sri Lanka, Myanmar, Indonesia and the Philippines through a process similar to the ongoing N-Peace awards for individuals. The CSOs that receive the grants will be recognised during N-Peace Awards 2018, which will have the ongoing individual awards and awards for CSOs that has been recognised under this initiative.

The process by which grants are selected are outlined below:

- Call for nominations: Criteria, including the countries covered, are shared with the public and the public is invited to nominate CSOs (CSOs will be encouraged to make short video submissions that can be shared online).
- Constituting the independent review panel: An independent panel of judges will oversee the process which will include global and regional personalities known to the Women, Peace and Security, and/or human rights fields, including notable individuals from the private sector.

- Review of all proposals: All proposals will be reviewed by N-Peace judges and/or board members, and UNDP to ensure criteria are met.
- Online voting: CSOs who meet the criteria will be shared and the public will be invited to vote online. Each e-mail address is allowed one vote.
- Decision by the panel of judges: the top three CSOs who receive the most votes under each category will be shared with the Judges. The judges are expected to select CSOs under each category that most closely meet the criteria.
- Announcing the winners and issuing grants: The CSO winners of the N-Peace Awards will be announced online.
- Capacity building workshop with Inclusive Security leadership: A capacity building workshop will be conducted with the winners on WPS and project management where the projects will be developed including the logical and M&E framework in-line with UNDP rules and procedures
- Results reporting at N-Peace Gala 2018: The N-Peace Gala 2018 will be held late 2018/early 2019, at least six to nine months after the grants have been issued. It will be an opportunity for the CSO to showcase the work done under the initiative.

Alongside this, the N-Peace individual Awards component will continue to run; announcements for nominations and winners will be made simultaneously, and the same Panel of Judges will oversee the process. This will help to provide greater strength and visibility to the CSOs and the individuals, and enhance N-Peace outreach with messages on WPS.

II. Results and Partnerships

Expected Results

Activity Level (Immediate Outcome):

- At least 12-16 CSO initiatives across 7 countries at the grassroots level will be recognised for their impact on the ground on women peace and security
- At least 12-16 CSOs across 7 countries given small grants (between USD \$5000 to USD \$10,000) to galvanise action on the ground on WPS linked to national efforts
- Build capacity of at least 12-16 CSOs across 7 countries on WPS, leadership and project management
- Showcasing work of over 30 CSOs across 7 countries with an online outreach of over 5-7 million

In the Central Sulawesi, regional trainings and consultations were held with women to provide inputs to local plans on reconstruction and rehabilitation inclining relocation of land, disarmament. Under the initiative, agreements were reached to train and allow women to mediate conflicts

Output Level (Intermediate Outcome):

- At least 12 initiatives implemented with support from the small grant fund that has impact on the ground on women peace and security.
- At least 5 initiatives implemented that have influenced policy change either at the national or subnational levels.
- At least 5 initiative been scaled up and rolled out locally, nationally, and regionally.

Outcome Level (Ultimate Outcome)

- Local or national precedents set to prioritise the inclusion and empowerment of women and girls.

Sustainability and Exit Strategy

The focus of the small grants initiative is to strengthen CSO capacities for long-term sustainable changes that contribute towards gender equality in conflict-affected countries. The initiative will ensure local level plans and agreements are mainstreamed into the existing plans so that they can help set a precedent. Through the N-Peace initiative, UNDP has already formed regional and country level partnerships with NGOs and think tanks through which the CSOs could sustain their work, thereby helping to establish deeper network connections in-country, in the form of N-Peace networks. The initiative is part of a larger programme by

UNDP on peace building that are developed in consultation with governments and feeds into national plans and policies.

The visibility provided to CSO work through online campaign-work will bring more attention to their peace-building efforts, and can help to mobilise resources for the CSOs. Significant efforts have been made in the last two years to bring N-Peace network closer to the private sector. We will be showcasing the small-grants initiatives to our private sector partners to see if interest can be gathered to complement funds, and provide ongoing support.

Resources Required to Achieve the Expected Results

- *Resource required for the initiative will include:*
 - Funding for the grants: Funding available to be distributed as small grants will be between USD \$ 5,000 to USD \$ 10,000
 - *Canada: USD \$ 184,300*
 - Regional capacity building workshop for CSOs grant awardees (based on the Inclusive Security's Curriculum for Women Waging Peace), and develop action plans with M&E and log frames USD \$ 50,000
 - *Canada: USD \$ 30,000*
 - *UNDP: USD \$ 20,000*
 - Website maintenance and outreach USD \$ 35,000
 - *Canada: USD \$ 25,000*
 - *UNDP: USD \$ 10,000*
 - N-Peace Gala and result reporting USD \$ 25,000
 - *Canada: USD \$ 15,000*
 - *UNDP: USD \$ 10,000*
 - UNDP Staff time including, support for management and accountability = USD \$ 197,000
 - *Canada: USD \$ 37,000*
 - *UNDP: USD \$ 160,000*
 - Programme Support Costs USD \$ 8,700
 - *Canada: USD \$ 8,700*
 - UNDP General Management Support and Direct Project Costs USD \$ 24,000
 - *Canada: USD \$ 24,000*

Partnerships

The N-Peace programme is run with our partner, The Institute for Inclusive Security, who steer the capacity building components. With over 15 years of experience in increasing women's participation in peace processes around the world, they have supported policymakers by providing expert advice grounded in research that demonstrates women's contributions to peacebuilding and partner abroad and in the US to build just and sustainable peace.

Each summer, the School of International Public Affairs, Columbia University provides two scholarships for N-Peace, where students come and assist with implementing its initiatives.

In 2016, efforts were made to bring N-Peace closer to the private sector. As a result, the programme benefits from direct and in-kind contributions from the private sector, for example, Thai actress and television host Cindy Bishop provides her time and her substantial online following to spread messages on Women, Peace and Security. Furthermore, the world renowned Thai artist Pinaree Sanpitak provides prints of her Malai collection for N-Peace Awardees by way of trophies, and the Thai Department Store Siam Paragon provides a free-of-charge space for N-Peace Exhibitions.

Risks and Assumptions

The main foreseeable risk is limited capacity of CSOs at the grassroots level to implement the programme. To mitigate the risk, a capacity building component is built into the programme.

Despite the small amount of each of the grants, efforts are needed to ensure accountability and compliance. UNDP's presence on the ground in each of these countries will help mitigate the risk. A proportion of the funding will be kept for monitoring.

III. Results Framework⁹

Theory of Change: *"If targeted women and men are supported with increased investments in capacity and skills, then they will be able to create institutional and social shifts to prioritize the inclusion and empowerment of women and girls and change discourse on the roles of women in peacebuilding."*

Partnership Strategy: The initiative will be carried out in partnership with the Institute for Inclusive Security, and the seven UNDP Country Offices, selected women advocates on WPS from the region, and regional think tanks working on WPS.

Outcome (Ultimate Outcome)	Output Targets (Intermediate Outcomes)	Activity Targets (Immediate Outcomes)	Inputs (in USD)
<p>Local or national precedents set to prioritize the inclusion and empowerment of women and girls</p> <p>Baseline:</p> <ul style="list-style-type: none"> - Negligible numbers of women included in peace processes in the region especially at the local levels - WPS challenges are rarely addressed at the national level <p>Indicators:</p> <ul style="list-style-type: none"> - Local or national precedents set to prioritize the inclusion and empowerment of women and girls 	<ul style="list-style-type: none"> - At least 12 initiatives implemented with support from the small grant fund that has impact on the ground on women peace and security - At least 5 initiatives implemented that has been able to influence policy change either at the national or subnational levels - At least 5 initiative been 	<ul style="list-style-type: none"> - At least 12-16 CSO initiatives across 7 countries at the grassroots level recognised for impact on the ground on women peace and security - At least 12-16 CSOs across 7 countries given small grants (between USD \$ 5000 to USD \$ 10,000) to galvanize action on the ground on WPS linked to national efforts - Build capacity of at least 12-16 CSOs across 7 countries on WPS, leadership and project management 	<ul style="list-style-type: none"> - Funding for the grants: Funding available to be distributed as small grants will be between USD \$ 5,000 to USD \$ 10,000, total USD \$ 184,300 - Regional capacity building workshop to develop the grants including M&E and log frames USD \$ 50,000 - Website maintenance and outreach USD \$ 35,000 - N-Peace Gala and result reporting

⁹ UNDP publishes its project information (indicators, baselines, targets and results) to meet the International Aid Transparency Initiative (IATI) standards. Make sure that indicators are S.M.A.R.T. (Specific, Measurable, Attainable, Relevant and Time-bound), provide accurate baselines and targets underpinned by reliable evidence and data, and avoid acronyms so that external audience clearly understand the results of the project.

Outcome (Ultimate Outcome)	Output Targets (Intermediate Outcomes)	Activity Targets (Immediate Outcomes)	Inputs (in USD)
	scaled up and rolled out locally, nationally and regionally - Programme Management Costs	- Showcasing work of over 30 CSOs across 7 countries with an online outreach of over 5-7million	USD \$ 25,000 - UNDP Staff time including, support for management and accountability: USD \$ 197,000 - \$ 8,700
UNDP General Management Support			USD \$ 24,000
GRAND TOTAL			USD \$ 524,000

*CANADA (PSOP) USD \$324,000
 UNDP USD \$200,00

IV. Monitoring And Evaluation

In accordance with UNDP's programming policies and procedures, the project will be monitored through the following monitoring and evaluation plans: *[Note: monitoring and evaluation plans should be adapted to project context, as needed]*

Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
Track results progress	Progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs.	Quarterly, or in the frequency required for each indicator.	Slower than expected progress will be addressed by project management.		
Monitor and Manage Risk	Identify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.	Quarterly	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.		
Learn	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	At least annually	Relevant lessons are captured by the project team and used to inform management decisions.		
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Annually	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.		
Review and Make Course Corrections	Internal review of data and evidence from all monitoring actions to	At least annually	Performance data, risks, lessons and quality will be		

	inform decision making.		discussed by the project board and used to make course corrections.		
Project Report	A progress report will be presented to the Project Board and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk long with mitigation measures, and any evaluation or review reports prepared over the period.	Annually, and at the end of the project (final report)			
Project Review (Project Board)	The project’s governance mechanism (i.e., project board) will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project’s final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences.	Specify frequency (i.e., at least annually)	Any quality concerns or slower than expected progress should be discussed by the project board and management actions agreed to address the issues identified.		

V. Multi-Year Work Plan ¹⁰¹¹

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Planned Budget by quarter				RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Canada (PSOP)	UNDP	Total
Output 1 <i>Over 16 CSOs at the grassroots level across the region mobilized to implement the women peace and security agenda, in conflicted affected settings; while receiving greater visibility for their work and portraying 'positive narratives' of women roles in ending hostilities and sustaining peace.</i>	1.1 Develop criteria for the small grant funds	✓				UNDP BRH/ UNDP COs			
	1.2 Constitute a panel of independent judges to oversee the process	✓				UNDP BRH			
	1.3 Announce online call for nomination	✓				UNDP BRH			
	1.4 Conduct due diligence and share online for voting the initiatives that have met the criteria cleared	✓				UNDP BRH			
	1.5 Conduct online campaign and voting on initiatives	✓	✓			UNDP BRH			
	1.6 Review by judges on initiatives that received the most votes	✓	✓			UNDP BRH			
	1.7 Announce the winners and issue the grants	✓	✓			UNDP BRH	USD 184,300		USD 184,300
	1.8 Conduct capacity building workshop to develop the grants		✓			UNDP BRH/Inclusive Security	USD 30,000	USD 20,000	USD 50,000
	1.9 Online monitoring of initiative based on frameworks developed at the capacity building workshop			✓	✓	✓ UNDP			

¹⁰ Cost definitions and classifications for programme and development effectiveness costs to be charged to the project are defined in the Executive Board decision DP/2010/32

¹¹ Changes to a project budget affecting the scope (outputs), completion date, or total estimated project costs require a formal budget revision that must be signed by the project board. In other cases, the UNDP programme manager alone may sign the revision provided the other signatories have no objection. This procedure may be applied for example when the purpose of the revision is only to re-phase activities among years.

	1.9 Results Reporting at N-Peace Gala					✓	UNDP BRH/Inclusive Security	USD 15,000	USD 10,000	USD 25,000
	1.10 Website maintenance, communication and outreach	✓	✓	✓	✓			USD 25,000	USD 10,000	USD 35,000
	1.11 Project Management, monitoring and accountability	✓	✓	✓	✓			USD 37,000	USD 160,000	US 197,000
	1.12 Programme Support cost	✓	✓	✓	✓			USD 8,700		US 8,700
	USD 300,000									
General Management Support Cost (8%)								USD 24,000		
								USD 324,000	USD 200,000	
TOTAL CAD CONTRIBUTION								CAD 400,000		
TOTAL										USD 524,000

*UN Exchange rate as Feb 2018: 1 Canadian dollar = 1.234 USD